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The effect of water-soluble polymers on the aqueous solubility and complexing abilities of β -cyclodextrin

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Abstract

The purpose of this study was to investigate the effects of both water-soluble polymers and various drugs on the solubility of β -cyclodextrin (β CD) in aqueous solution. The solubility of β CD in water was determined to be 18.6 mg/ml, but addition of 0.25–1.00% (w/v) of polyvinylpyrrolidone, and heating in an autoclave (120–140°C for 20–40 min) increased the solubility to 21 mg/ml. The aqueous solubility of β CD also increased upon drug– β CD complex formation. Both lipophilic and hydrophilic drugs increased the solubility of β CD in water. For example, the solubility of β CD in a saturated aqueous solution of carbamazepine was determined to be 28.4 mg/ml but 53.3 mg/ml when 0.25% (w/v) hydroxypropyl methylcellulose (HPMC) was present in the solutions. The total solubility of β CD in such aqueous systems appeared to be the sum of the intrinsic solubility of β CD and the solubility of the various β CD complexes, i.e. the drug– β CD complexes and the complexes of β CD and drug– β CD complexes with the water-soluble polymers. Not only did the polymer solubilize β CD and its complexes, but was also able to enhance drug– β CD complex formation. © 1998 Elsevier Science B.V. All rights reserved.

Keywords: Cyclodextrin; Complexation; Drug delivery; Drug formulations; Polymers; Solubility

1. Introduction

Cyclodextrins (CDs) are a group of structurally related cyclic oligosaccharides that are formed by enzymatic cyclization of starch. The three most common naturally occurring CDs are α -cyclodextrin (α CD), β -cyclodextrin (β CD) and γ -cyclodextrin (γCD) consisting of six, seven and eight $(\alpha-1,4)$ -linked α -D-glucopyranose units, respectively. The CD molecules are cone-shaped with a somewhat hydrophobic central cavity and hydrophilic outer surface. They are capable of forming inclusion complexes with many drugs by taking up a whole drug molecule, or more frequently some hydrophobic part of it, into the cavity. The complexation will affect many of the physicochemical properties of the drugs without

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affecting their intrinsic lipophilicity or pharmacological properties (Frömming and Szejtli, 1994; Duchêne and Wouessidjewe, 1996; Loftsson and Brewster, 1996; Rajewski and Stella, 1996; Irie and Uekama, 1997).

The natural CDs, in particular β CD, have limited solubility in water and their complexes with lipophilic water-insoluble drugs often result in precipitation of solid drug–CD complexes (Szejtli, 1988). Therefore various CD derivatives possessing very high solubility in water have been synthesized. These derivatives include the β CD derivatives which presently are the most common CDs used in experimental drug formulations, i.e. 2-hydroxypropyl- β -cyclodextrin (HP β CD), randomly methylated β -cyclodextrin, sulfobutylether- β -cyclodextrin and maltosyl- β -cyclodextrin. Little attention has been focused on the usage of third component, such as water-soluble polymers, to enhance the aqueous solubility of β CD and its complexes. The purpose of this study was to investigate the effect of water-soluble polymers on the aqueous solubility and complexing abilities of β CD.

Polymers are known to interact with CDs, although the exact nature of the polymer–CD interactions is still not known. Hladon and Cwiertinia (1994) have shown that β CD interacts with a number of water-soluble cellulose derivatives. Other investigators have shown that at low concentrations, polymers increase the complexing abilities of CDs (Loftsson et al., 1994; Ganzerli et al., 1996) and that the polymers enhance the availability of drugs in aqueous CD solutions (Sigurðardóttir and Loftsson, 1995). This indicates that these interactions between water-soluble polymers and CDs are different from those observed in, for example, polyrotaxanes where many CD molecules are threaded onto a linear polymer (see for example Fujita et al., 1996). Such inclusion complex formation between CDs and polymers will reduce the abilities of the CDs to form complexes with other molecules. Polyrotaxanes are prepared at room temperature by gently stirring an aqueous solution containing both the polymer and the CD. Enhancements of the complexation efficacy and increased drug availability in CD solutions are usually obtained by heating aqueous solutions containing polymer, CD and drug in an autoclave $(120-140^{\circ}C)$ for $20-40$ min). Simply adding the polymers to the solutions without heating does not enhance the complexation or the drug availability (Loftsson and Sigurðardóttir, 1996).

2. Materials and methods

2.1. *Materials*

 β -Cyclodextrin (β CD) was obtained from Celedex (Japan), 2-hydroxypropyl- β -cyclodextrin MS 0.6 (HP β CD) and y-cyclodextrin (γ CD) from Wacker (Germany), carboxymethylcellulose sodium salt of medium viscosity (CMC) from Norsk Medisinaldepot (Norway), hydroxypropyl methylcellulose 4000 (HPMC) and polyvinylpyrrolidone of molecular weight 40 000 (PVP) from Mecobenzon (Denmark), sodium salicylate and sulfamethoxazole from Icelandic Pharmaceuticals (Iceland), acetazolamide from Agar (Italy), carbamazepine from Aldrich (USA), and alprazolam, dexamethasone, econazole, ethoxyzolamide and methazolamide from Sigma (USA). All other chemicals used were of pharmaceutical or special analytical grade.

2.2. *Quantitati*6*e determination*

The quantitative determination of β CD was performed on a high-performance liquid chromatographic (HPLC) system composed of a Waters Model 501 pump operated at 1.0 ml/min flow rate, a Rheodyne 7125 injector and PAD-2 pulsed amperometric detector from Dionex (USA) with a gold working electrode and a silver–silver chloride reference electrode. The column was a Carbo-Pac PA1 Analytical Column $(4 \times 250$ mm) from Dionex. The eluent consisted of 150 mM sodium hydroxide and 300 mM sodium acetate in water. Duration times for detection were: $E_1 = 100$ mV $(t_1 = 120 \text{ ms})$, $E_2 = 600 \text{ mV}$ $(t_2 = 120 \text{ ms})$ and $E_3 = -800$ mV ($t_3 = 300$ ms). The PAD response time was set at 1 s. Quantitative determinations of the drugs were performed on a reversed-phase HPLC component system consisting of a Milton

Drug	Mobile phase	Flow rate ml/min	Wavelength (nm)	Retention time (min)
Acetazolamide	Acetonitrile, acetic acid, water (10:2:88) containing 0.015% 1-octanesulfonate	1.5	263	4.0
Carbamazepine	Acetonitrile, tetrahydrofuran, water (35:1:64)	2.0	278	2.8
Hydrocortisone	Acetonitrile, tetrahydrofuran, water (30:1:69)	1.5	254	2.6
Econazole	Methanol, 0.01 M aqueous potassium phosphate solu-1.5 tion (pH 4.5) (90:10)		226	2.0
Methazolamide	Acetonitrile, acetic acid, water (12:2:86) containing 0.015% (w/v) 1-octanesulfonate	2.0	254	2.5

Table 1 Conditions of quantitative drug determination by HPLC

Roy ConstaMetric 3200 solvent delivery system (USA), a Spectro Monitor 3200 UV/Vis variablewavelength detector, AS-2000A Hitachi Merck 3200 autosampler (Japan-Germany), and a Beckman Ultraspere ODS 5 μ , 4.6 \times 150 mm column (USA). For other HPLC conditions, see Table 1.

2.3. *Evaluation of βCD stability during autocla*6*ing*

Stability of the β CD was determined by heating pure unbuffered aqueous β CD (0.5% w/v) solutions, at a pH of about 5, to 120°C in an autoclave (M7 Speed Clave from Midmark, USA) for 0 to 80 min (0–4 heating cycles). The solution was heated in closed vials and one vial removed from the autoclave after each heating cycle. After cooling the samples to room temperature, the β CD concentration was determined by HPLC. The experiment was repeated two times. No degradation of β CD could be observed in the three independent experiments.

2.4. *Solubility studies*

An excess amount of β CD and/or the drug to be tested was added to water or aqueous polymer solution. The suspension formed was heated in an autoclave in sealed containers (120°C for 20 min). After equilibration at room temperature (23°C) for 4–7 days, the suspension was filtered through a 0.45- μ m membrane filter (Nylon Acrodisc from Gelman, USA), diluted with water (when the solubility of β CD was to be determined) or methanol–water (7:3) solution (when the solubility of the drug was to be determined) and the β CD and drug concentrations determined by HPLC. The apparent stability constants (K_c) of the drug– β CD (1:1) complexes were determined from the phase-solubility diagrams according to the method of Higuchi and Connors (1965).

2.5. *Diffusion through cellophane membrane*

The effect of heating the polymer β CD solutions on the diffusion of β CD through cellophane membrane (Spectropore membrane tubing, m.w. cut off 12 000–14 000) was investigated in Franz diffusion cells (Vangard, USA). The aqueous solution to be tested contained 1% (w/v) β CD and 0.25% (w/v) water-soluble polymer. Half of each solution was heated in an autoclave as described in Section 2.4 (the heating promotes the polymer– β CD interaction) and the other half was not heated (minimal polymer– β CD interaction). Water was used as a receptor phase. Samples (200 μ l) were withdrawn from the receptor phase every 15 min for 90 min. The β CD concentration was determined by HPLC. Each experiment was repeated at least three times and the results reported are mean values \pm standard error of the mean.

3. Results and discussions

3.1. Polymer $-\beta CD$ interaction

It is possible to enhance aqueous solubility of β CD by forming complexes with water-soluble

drugs like sodium salicylate. For example, the solubility of β CD was determined to be 18.6 mg/ml in pure water but it increased to about 200 mg/ml when the solution contained 5% (w/ v) sodium salicylate. The apparent stability constant (K_c) of the salicylate– β CD complex was determined from the linear phase-solubility diagram to be 51 M⁻¹ in pure aqueous β CD solutions but it increased to 64, 87 or 80 M⁻¹ when 0.25% (w/v) CMC, PVP or HPMC, respectively, were added to the solution. However, solubilization of β CD through complexation with water-soluble drugs is of limited value to the pharmaceutical industry. The observed enhancement of K_c upon addition of the polymers shows that the polymers are able to interact with the anionic salicylate– β CD complex. The polymer– β CD interaction could also be observed by measuring the flux of β CD from aqueous polymer solutions through a semi-permeable membrane which was impermeable to the polymers. Thus the fluxes of β CD from aqueous PVP solutions were $56+4\times10^{-7}$ and $46+4\times10^{-7}$ M min⁻¹ cm[−]² from unheated and heated solutions, respectively. From a CMC-containing solution, the fluxes were determined to be $100 \pm 18 \times$ 10^{-7} and $53 + 7 \times 10^{-7}$ M min⁻¹ cm⁻² from an unheated and heated solution, respectively. This indicates that heating in an autoclave enhanced the polymer–CD interaction resulting in slower CD permeability through the membrane. Finally, the polymer– β CD interaction can be observed by measuring the effect of the polymer on the aqueous solubility of β CD itself. For example, addition of a small amount of PVP and heating in an autoclave resulted in notable enhancement of the aqueous solubility of β CD (Fig. 1). The solubility decreases somewhat at higher polymer concentrations, possibly due to formation of water-insoluble inclusion complexes between the polymer and several β CD molecules. Such precipitates are commonly observed when polyrotaxanes of β CD are formed in aqueous solutions (Fujita et al., 1996).

3.2. *Effect of lipophilic drugs and polymers on the solubility of* β *CD*

In general, lipophilic drugs possess low intrinsic aqueous solubility and, thus, one would expect that addition of such drugs to aqueous β CD solutions should lower the solubility of β CD. However, the solubility of β CD in such systems should be the sum of the intrinsic solubility of β CD and the solubility of the drug– β CD complex. The solubility of β CD was determined in water and several aqueous polymer solutions and the effect of complex formation between drug and β CD on the solubility investigated (Table 2). Addition of the polymers results in a 3–10% increase in the total aqueous solubility of β CD but the drug– β CD complexation results in a ca 40% to $> 100\%$ increase in solubility (the solubility ratio in Table 2 is 1.4–2.1). Further solubilization was obtained when a water-soluble polymer was present in the aqueous complexation media resulting in an additional 2–100% increase in the total aqueous solubility of β CD. The total increase in the β CD solubility was in some cases as high as 190% (solubility ratio was up to 2.9). It appears that the total solubility of β CD in such aqueous compositions is the sum of the individual contributions. For example, the total solubility of

Fig. 1. The effect of increasing PVP concentration on the solubility of β CD in water at room temperature (23°C). The mean \pm standard error of the mean of three experiments.

Table 2

Drug (sol. $mg/ml)^a$	Polymer	Solubility + standard deviation (mg/ml)		Solubility ratio ^b
		Polymer	Both polymer and drug	
Acetazolamide	$-{}^{\rm c}$	18.6 ± 0.4	$26.0 + 0.6$	1.4
(0.70)	PVP	20.5 ± 0.8	26.6 ± 2.8	1.4
	HPMC	20.2 ± 1.5	36.8 ± 6.8	2.0
	CMC	$19.1 + 0.1$	26.8 ± 1.9	1.4
Alprazolam	PVP	20.5 ± 0.8	24.9 ± 0.4	1.3
(0.07)	HPMC	20.2 ± 1.5	25.2 ± 0.2	1.4
	CMC	19.1 ± 0.1	24.4 ± 0.4	1.3
Carbamazepine		18.6 ± 0.4	28.4 ± 0.0	1.5
(0.11)	PVP	20.5 ± 0.8	48.4 ± 0.1	2.6
	HPMC	20.2 ± 1.5	53.3 ± 2.5	2.9
	CMC	$19.1 + 0.1$	$38.2 + 4.0$	2.1
Dexamethasone	PVP	$20.5 + 0.8$	$24.9 + 0.4$	1.3
(0.11)	HPMC	20.2 ± 1.5	25.2 ± 0.2	1.4
	CMC	$19.1 + 0.1$	$24.4 + 0.4$	1.3
Ethoxyzolamide		18.6 ± 0.4	25.5 ± 3.5	1.4
(0.04)	PVP	20.5 ± 0.8	28.5 ± 0.5	1.5
	HPMC	20.2 ± 1.5	35.0 ± 2.6	1.9
	CMC	19.1 ± 0.1	37.0 ± 3.0	2.0
Methazolamide		$18.6 + 0.4$	$26.0 + 0.7$	1.4
(0.05)	PVP	20.5 ± 0.8	29.0 ± 2.2	1.6
	HPMC	20.2 ± 1.5	32.3 ± 2.2	1.7
	CMC	19.1 ± 0.1	51.1 ± 0.3	2.8
Sulfamethoxazole		18.6 ± 0.4	38.8 ± 0.8	2.1
(0.36)	PVP	20.5 ± 0.8	48.0 ± 2.8	2.6
	HPMC	20.2 ± 1.5	53.0 \pm 2.0	2.9
	CMC	19.1 ± 0.1	41.9 ± 2.8	2.3

The solubility of β CD in water, in aqueous 0.25% (w/v) polymer solution, in water saturated with both β CD and the drug, or in aqueous 0.25% (w/v) polymer solution saturated with both β CD and the drug

The solubility is the mean of at least three determinations $+$ standard deviation.

^a Solubility (in mg/ml) of the drug in pure water at room temperature.

^b Solubility of β CD in aqueous solution saturated with both drug and β CD divided by the intrinsic solubility of β CD in pure water. ^c No polymer.

 β CD in aqueous 0.25% HPMC solution which has been saturated with carbamazepine was determined to be 53.3 mg/ml. The individual contributions calculated from Table 2 are as follows: (a) the intrinsic solubility of β CD contributes 18.6 mg/ml, (b) the HPMC– β CD interactions contribute 1.6 mg/ml, (c) the carbamazepine– β CD interactions contribute 8.2 mg/ml, and (d) the $HPMC–carbamazepine– β CD interactions con$ tribute 24.9 mg/ml. Only a very small amount of HPMC had to be added to the system to obtain this solubilizing effect (Fig. 2).

3.3. *Complexation efficacy*

The polymers increase the aqueous solubility of β CD without decreasing its ability to form inclusion complexes. Actually, in most cases, the polymers increase the complexing abilities of β CD. For example, Fig. 3 shows the effect of CMC and HPMC concentrations on the carbamazepine incorporation into β CD, i.e. how many milligrams of carbamazepine forms a complex with one gram of β CD. It can be seen that addition of a small amount of the polymers dramatically increases the

Fig. 2. The effect of HPMC concentration on the solubility of β CD in an aqueous solution which is saturated with both β CD and carbamazepine.

incorporation. Maximum incorporation was about 100 mg carbamazepine per gram β CD, which was obtained in $0.075-0.25\%$ (w/v) HPMC solution. HPMC significantly increased the incorporation of acetazolamide into β CD but PVP was better for both methazolamide and econazole (Fig. 4). In our study β CD was a much better complexing agent than $HP\beta CD$ (Fig. 4). The polymers were also able to enhance drug incorporation into γ CD (Fig. 5). Thus, this effect on drug incorporation appears to be a general effect of the polymers on CD complexation, as previously shown in the case of $HP\beta CD$ (Loftsson et al., 1994).

Fig. 3. The effect of CMC (\Box) and HPMC (\bigcirc) concentration on carbamazepine incorporation into β CD.

Fig. 4. Drug incorporation into HP β CD and β CD and the effect of addition of 0.25% (w/v) PVP or HPMC on drug incorporation into β CD.

4. Conclusions

The aqueous solubility of β CD in pure water is only 18.6 mg/ml. However, its solubility in aqueous drug formulations will increase significantly upon formation of inclusion complexes with drugs or complex formation with water-soluble polymers. The polymers not only solubilize β CD and its complexes, but they are also able to enhance formation of complexes between drugs and β CD. Thus, it should be possible to form aqueous drug formulations containing a watersoluble polymer and up to 5 or 6% β CD in solution. In such solutions, β CD could be as effective a solubilizer as 10–15% solution of some

Fig. 5. Effect of addition of 0.25% (w/v) CMC, 0.25% (w/v) PVP, or 0.10% w/v HPMC on the incorporation of carbamazepine and hydrocortisone in a lyophilized γ CD complex.

of the more water-soluble β CD derivatives. Finally, addition of a water-soluble polymer could also enhance the efficiency of the complexation when solid β CD complex powder is produced by kneading or co-grinding at elevated temperatures (Loftsson and Brewster, 1997).

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